



#20/Prior Art
T. H. Betts
Docket No. SUN-P3708 12/12/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Susser et al.
SERIAL NO.: 09/235,158
FILING DATE: January 22, 1999
TITLE: TECHNIQUES FOR IMPLEMENTING SECURITY ON A SMALL
FOOTPRINT DEVICE USING A CONTEXT BARRIER
EXAMINER: Lewis Alexander Bullock Jr.
ART UNIT: 2151

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DEC 09 2002

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date
printed below:

Date: 12-2-02
December 02, 2002

Name: Sherri L. Ballbach
Sherri L. Ballbach

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a
copy of each is attached, may be material to the examination of the above-identified
application and is, therefore, submitted in compliance with the duty of disclosure defined
in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of
official record in this application.

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This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This information disclosure statement is filed pursuant to:

() 37 C.F.R. § 1.97(b).

This information disclosure statement is filed within one of the following time periods:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;
- (3) Before the mailing date of a first Office action on the merits; or
- (4) Before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

Accordingly, this information disclosure statement requires no fee and no certification.

(X) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the periods permitted in 37 C.F.R. § 1.97 (b), but before the mailing date of either:

- (1) A final action under 37 C.F.R. § 1.113; or
- (2) A notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e) (See Below).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the periods permitted in 37 C.F.R. §§ 1.97 (b) and (c), but on or before payment of the issue fee.

Accordingly, this information disclosure statement requires:

- (1) a statement as specified in 37 C.F.R. § 1.97(e) (See Below);
- (2) a petition requesting consideration of the information disclosure statement; and
- (3) the petition fee set forth in 37 C.F.R. § 1.17 (i) (\$130.00).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required,

please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- () No fee is due.
- (X) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180.00).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e) , I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

IV

() **Petition for Acceptance of Information Disclosure Statement**

If this paragraph is checked above, Applicant hereby petitions the Commissioner of Patents to accept the attached Information Disclosure Statement. A fee in accordance with 37 C.F.R. § 1.17(i) is included herewith or, in the alternative, the

Commissioner is authorized to debit the deposit account of the undersigned (50-1698) for the amount of the fee and any other charges required hereby.

Respectfully submitted,
THELEN REID & PRIEST LLP

A handwritten signature in black ink, appearing to read 'John P. Schaub', is written over a horizontal line.

John P. Schaub
Reg. No. 42,125

Dated: December 02, 2002

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FILING RECEIPT

CORRECTED

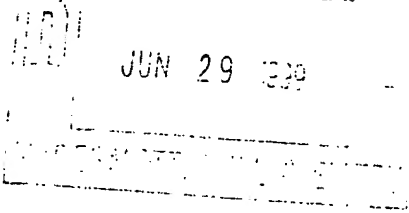
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
99/235,158	01/22/99	2751	\$1,274.00	50253-216;P3	18	24	7

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WASHINGTON DC 20005-3096



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOSHUA SUSSER, SAN FRANCISCO, CA; MITCHEL B. BUTLER,
SUNNYVALE, CA; ANDY STREICH, FOSTER CITY, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/10/99

TITLE

TECHNIQUES FOR IMPLEMENTING SECURITY ON A SMALL FOOTPRINT DEVICE
USING A CONTEXT BARRIER

PRELIMINARY CLASS: 711

DATA ENTRY BY: BURNS, ERIC

TEAM: 07 DATE: 06/21/99

